

National instrument 31-103 pdf


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The amendments to this instrument update section references and reflect the revocation of Companion Policy CP Registration Requirements, Exemptions and Ongoing Registrant Obligations. Companion Policy CP Registration This Companion Policy sets out how the Canadian Securities Administrators (the CSA or we) interpret or apply the provisions of National Instrument Registration This document is an unofficial consolidation of all amendments to the Ontario version of National Instrument Registration Requirements, Exemptions and Ongoing Registrant Obligations (NI) and its Companion Policy, effective as of ember 4, This document is for reference purposes only (iii) National Instrument – Mutual Funds. The amendments include: Changes to how registrants report, and firms manage, outside activities, including establishing a framework that outlines categories of outside activities that registrants need to report to regulators National Instrument Registration Requirements and Exemptions Supplement to the OSC Bulletin J ()OSCB (Supp-2) Part Interpretation Definitions of terms used throughout this Instrument In this Instrument “Canadian financial institution” has the same meaning as in section of NI ; ; National Instrument: NIRegistration Requirements and Exemptions and Consequential Amendments to Related Instruments Component Menu Left Sidebar and to. The proposed amendments to this instrument preserve the exemption provided in subsection (5) of NI (iv) National Instrument – Independent Review Committee for Investment Funds. National Instrument Registration Requirements, Exemptions and Ongoing Registrant Obligations and to Companion Policy CP Registration Requirements, proposed amendments to the instruments relating to NRD, namely National Instrument National Registration Database (NI) and Companion Policy CP, This document is an unofficial consolidation of all amendments to National Instrument Registration Requirements, Exemptions and Ongoing Registrant Obligations (NI National Instrument Registration Requirements, Exemptions and Ongoing Registrant Obligations.

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