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R01 financial services regulation and ethics pdf

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Qualifications. Financial Services, Regulations and Ethics (R01) Financial Services, Regulations and Ethics (R01) develops your knowledge and understanding of the financial services profession, including regulation, legislation and the Code of Ethics. Access is only available during your enrolment period

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Financial services, regulation and ethics At the end of this unit, candidates will have investigated the: Purpose and structure of the UK financial service industry; How the retail customer is served by the financial service industry; Financial Services Authority's (FSA) regulatory framework, powers and responsibilities to R


Financial services, regulation & ethics Learning Outcome Assessment Criteria Indicative Content This PDF document is accessible through screen reader attachments to your browser and has been designed to be read via the speechify extension available on Chrome. For many candidates, this is the first exam that they attempt in their Diploma journey. Role of the Financial Conduct Authority (FCA), Prudential Regulation Authority (PRA), HM Treasury and the Bank of England – Financial Policy Committee (FPC) Understand the UK financial services industry in its European and global context Describe the role, structure and context of the UK and international financial services R

Financial services, regulation and ethics At the end of this unit, candidates will have investigated the: Purpose and structure of the UK financial service industry; How the Financial Services, Regulations and Ethics (R01) is a core unit for the Diploma in Regulated Financial Planning which meets the FCA's qualification requirements for Digital


A printable PDF and ebook of the study text key facts booklet are available on RevisionMate. This unit requires candidates to demonstrate a broad understanding of the financial services industry, with a focus on regulation, legislation The default enrolment option for this unit includes R

Financial services, regulations and ethics is a core unit for the CII Diploma in Regulated Financial Planning. Speechify is a free extension that is available from Learning.

 Difficulté Très facile

 Durée 815 heure(s)

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